**Subaward vs. Vendor vs. External Consultant**

| ****Subrecipient**** ****(aka subaward/ subcontract)**** | ****Vendor**** | ****External Consultant**** |
| --- | --- | --- |
| **An entity that has agreed to work in collaboration with the CSUCI PI to perform a substantive portion of the programmatic effort on an award.** | **An individual, business, or other entity which is providing products or services to the University.** | **An individual or business whose expertise is required to perform the project. Services are temporary and special or highly technical.** |
| Works collaboratively with the CSUCI PI as a co-investigator at another entity to which funds are being passed.  Has authority to make administrative and programmatic decisions and to control the method and results of work  Has responsibility to meet all applicable sponsor requirements  Has performance measured against meeting the program objectives  Are designated senior/key personnel in the proposal-may be a Co-PI  Uses sponsor funds to carry out a program rather than provide a good or a service  Has responsibility for the end results of the CSUCI -funded research effort  Services are complex and require a scope of work and budget, billing requirements, and a deliverables schedule  The entity’s statement of work may represent an intellectually significant portion of the programmatic decision making  The entity’s work results may involve intellectual property and/or may lead to publications on the CSUCI project  Needs animal and/or human subjects approvals for its independent portion of the work  Requires a separate budget and budget justification in the application  **If the statements above best describe your proposed agreement, this is most likely a Subrecipient.** | Provides similar goods or services to different parties  Does not make CSUCI program decisions or take actions that impact a program's overall success or failure  Is not subject to sponsor compliance regulations  Goods and services are ancillary to the program  Provides similar goods or services as part of their normal business operations  Competes with comparable entities to provide the same goods and/or services  Is not responsible for CSUCI’s project research results  No detailed scope of work  Goods and services are billed according to the vendor’s established rates  The goods and services are secondary to the central purpose of the project  No potential for patentable or copyrightable technology related to the CSUCI project to be created through project from activities of the entity  Performs services only (no analysis or discretionary judgment related to the CSUCI project)  **If the statements above best describe your proposed agreement, this is most likely a Vendor.** | An individual or business outside the project who confers with the PI regarding research objectives  Does not develop the objectives of the CSUCI project  Is not responsible for the overall outcome of the CSUCI project  Is not responsible in designing or developing the CSUCI research or project  Is not responsible for conducting the CSUCI research  Is not responsible for reporting on the CSUCI research  Is not essential toward the shape, direction, and completion of the project (their work could be performed by others with similar qualifications)  Receives a fee for their services, not a salary  Provides similar services to other organizations  Does not serve as senior personnel – e.g., Co-Investigator, Principal Investigator, etc. on the CSUCI project  Will not use CSUCI university resources. Provides its own work area, tools, materials, and supplies  CSUCI defines the scope of work  Consultant determines how to accomplish the work  Is not considered an employee of CSUCI and therefore is not eligible for workers compensation, liability coverage, or unemployment  Payment is based upon completion of specific work, rather than time worked  **If the statements above best describe your proposed agreement, this is mostly likely an External Consultant.** |