**Subaward vs. Vendor vs. External Consultant**

| ****Subrecipient********(aka subaward/ subcontract)**** | ****Vendor**** | ****External Consultant**** |
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| **An entity that has agreed to work in collaboration with the CSUCI PI to perform a substantive portion of the programmatic effort on an award.** | **An individual, business, or other entity which is providing products or services to the University.** | **An individual or business whose expertise is required to perform the project. Services are temporary and special or highly technical.** |
| Works collaboratively with the CSUCI PI as a co-investigator at another entity to which funds are being passed.Has authority to make administrative and programmatic decisions and to control the method and results of workHas responsibility to meet all applicable sponsor requirementsHas performance measured against meeting the program objectivesAre designated senior/key personnel in the proposal-may be a Co-PIUses sponsor funds to carry out a program rather than provide a good or a serviceHas responsibility for the end results of the CSUCI -funded research effortServices are complex and require a scope of work and budget, billing requirements, and a deliverables scheduleThe entity’s statement of work may represent an intellectually significant portion of the programmatic decision makingThe entity’s work results may involve intellectual property and/or may lead to publications on the CSUCI projectNeeds animal and/or human subjects approvals for its independent portion of the workRequires a separate budget and budget justification in the application**If the statements above best describe your proposed agreement, this is most likely a Subrecipient.** | Provides similar goods or services to different partiesDoes not make CSUCI program decisions or take actions that impact a program's overall success or failureIs not subject to sponsor compliance regulationsGoods and services are ancillary to the programProvides similar goods or services as part of their normal business operationsCompetes with comparable entities to provide the same goods and/or servicesIs not responsible for CSUCI’s project research resultsNo detailed scope of workGoods and services are billed according to the vendor’s established ratesThe goods and services are secondary to the central purpose of the projectNo potential for patentable or copyrightable technology related to the CSUCI project to be created through project from activities of the entityPerforms services only (no analysis or discretionary judgment related to the CSUCI project)**If the statements above best describe your proposed agreement, this is most likely a Vendor.** | An individual or business outside the project who confers with the PI regarding research objectivesDoes not develop the objectives of the CSUCI projectIs not responsible for the overall outcome of the CSUCI projectIs not responsible in designing or developing the CSUCI research or projectIs not responsible for conducting the CSUCI researchIs not responsible for reporting on the CSUCI researchIs not essential toward the shape, direction, and completion of the project (their work could be performed by others with similar qualifications)Receives a fee for their services, not a salaryProvides similar services to other organizationsDoes not serve as senior personnel – e.g., Co-Investigator, Principal Investigator, etc. on the CSUCI projectWill not use CSUCI university resources. Provides its own work area, tools, materials, and suppliesCSUCI defines the scope of workConsultant determines how to accomplish the workIs not considered an employee of CSUCI and therefore is not eligible for workers compensation, liability coverage, or unemploymentPayment is based upon completion of specific work, rather than time worked**If the statements above best describe your proposed agreement, this is mostly likely an External Consultant.** |