



Strategic Resource Planning Committee

Sage Hall 2030
Wednesday, October 3, 2018
10:30am

Topics

1. 2018/19 Budget Overview
2. 2019/20 Budget Instructions
3. Strategic Initiative Funding Requests

2018/19 Budget Overview

2018/19 Budget Overview

1. Final Base Budget Revenues
2. One-Time Revenues
3. Final Base Budget Expenditures
4. One-Time Expenditures
5. Divisional Funding Authorizations

Final Base Budget Revenues

2018/19	PERMANENT
<u>Revenues</u>	
CO – GI 2025 Allocation	2,900,000
CO – Health; O&M	315,000
CO - Compensation	2,025,000
CO - State University Grant	(186,200)
Tuition	648,333
TOTAL	5,702,133

One-Time Revenues

2018/19	TEMPORARY
<u>Revenues</u>	
CO – GI 2025 Allocation	250,000
CO – RSCA	42,700
CO – Deferred Maintenance/Infrastructure	2,028,000
CO – Enrollment (One-Time)	770,000
Deferred Maintenance Unallocated	750,000
Contingency/Unallocated (Prior 2 Years)	3,892,158
TOTAL	7,732,858

Final Base Budget Expenditures

2018/19	PERMANENT
<u>Expenditures</u>	
CO - Health; O&M	315,000
CO - Compensation	2,025,000
CO - State University Grant	(186,200)
Compensation – Faculty Promotions	95,000
Fixed Cost Increases (benefits, utilities)	400,000
Compensation – Campus Contribution	650,000
PERS Full Cost for New Employees	439,000
Division Requests – Permanent	1,454,541
Adjust for Compensation Shortfall	450,000
Staff Equity Pool	250,000
Contingency	350,000
TOTAL	6,242,341

One-Time Expenditures

2018/19	TEMPORARY
<u>Expenditures</u>	
CO – GI 2025 Allocation	250,000
CO – RSCA	42,700
CO – Deferred Maintenance/Infrastructure	2,028,000
Sabbaticals – Faculty	50,000
Course Releases – Faculty	354,060
Division Requests	218,447
Set Aside for Strategic Initiatives	1,000,000
Division Contingency annual allocation	1,200,000
Legal Expense	200,000
TOTAL	5,343,207

Divisional Funding Authorizations

(*) Detail of Permanent Divisional Requests Staffing Authorizations

Division	Request	FTE	Amount
Office of the President	Fund Chief of Staff for adjustment of IRPE funds		\$57,846
	Ombudsman: Reallocate funds from current Analyst position and supplement	1.0	46,000
Academic Affairs	Tenure Track Faculty: New funds for tenured lines	6.0	754,800
	Start-Up for New Tenure Track Faculty		72,000
	Director - Faculty Professional Development	1.0	150,000
	Dean - Undergraduate Studies	1.0	130,000
	Data Mgr and Research Analyst - to permanent	1.0	109,448
Business & Fin Affairs	Data Mgr and Research Analyst - to permanent	1.0	109,448
Student Affairs	Disability Support Services operations funds		25,000
Total Permanent Allocations		11.0	\$1,454,541

Note: Amounts include benefit costs as applicable.

2019/20 Budget Instructions

2019/20 Budget Instructions

1. Goals
2. Guidelines
3. Schedule

Budget Instructions - Goals

Goals

- The goal of the budget resource planning process is to create a *balanced and sustainable* ongoing budget that supports the identified Strategic Initiatives of the University
- This will involve the actions that reflect strategic investments to achieve the goals of the campus' Strategic Initiatives
- *Reallocation* of resources should also support the Strategic Initiatives as well
- A campus only annualized enrollment *growth target of two percent* has been established for FY20

Budget Instructions - Guidelines


Guidelines

In developing the FY20 budget, the following parameters are provided:

- Align resource allocations with Strategic Initiatives for the near and long-term to support sustainable operating environment
- Incorporate accountability (efficiencies, reallocation, etc.) into the resource allocation process
- Engage in necessary planning to successfully support any student FTE enrollment growth
- Support decentralized budget environments by division
- Aim for transparency
- Build necessary reserves for all funds by 2020
- Protect resources for strategic investments even in tough times
- Use challenging times as a rationale for change

Budget Instructions - 2018/19 Schedule

2018

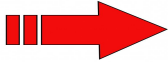
September	Student Fee Advisory Committee begin meetings for FY20; Cost Allocation plan discussions begin; Reserve allocation discussions begin
October 3	Strategic Resource Planning Committee (SRPC) meeting; Launch of FY20 Planning and current year budget updates
October 12	FY20 Budget Instructions distributed to Campus Budget Heads and posted; FY20 Divisional/Unit Budget Preparation officially begins
October 12 – February 15	Divisions/Units prepare FY20 budget requests based on unit-divisional goals and objectives and linked to the University's strategic plan goals
 December 14	Fee Increase Requests due to Budget & Planning; Will be vetted by Cabinet with final decisions to be made in mid-January; If approved, include in budget submission

Budget Instructions - 2018/19 Schedule

2019

January

Governor submits budget to Legislature



February 15

FY20 Budget Request Workbook due to Budget & Planning

February 15

Budget & Planning begins initial review of FY20 budget submissions

February

Cabinet budget discussions take place

- Campus Town Hall meetings take place to present proposals by divisions to the campus community
- SRPC convenes to provide input on FY20 budget submissions and discuss Cabinet updates

March

Final draft budget prepared based on divisional requests and SRPC and SI input

April

Vice Presidents complete plans for their respective division and share final plans with SRPC/SI

April

Final FY20 Budget recommendations to President

April

Hyperion B&P open for input for all units for FY20 budget

May

Governor's May Revise

May

Hyperion closes for further input for all units

June

President approves FY20 final budget

Strategic Initiative Funding Requests

Strategic Initiative Funding Requests

1. Overview of Process
2. Funding Request Review
3. Review of Funding Proposals

Overview of Process

Strategic Initiatives

- Published by President Beck in May 2018
- Structure
 - Initiatives
 - Goals
 - Objectives
 - Actions
 - To implement actions, tactics may be additionally undertaken
 - Tactics may take the form of proposals
 - Some proposals will request funding

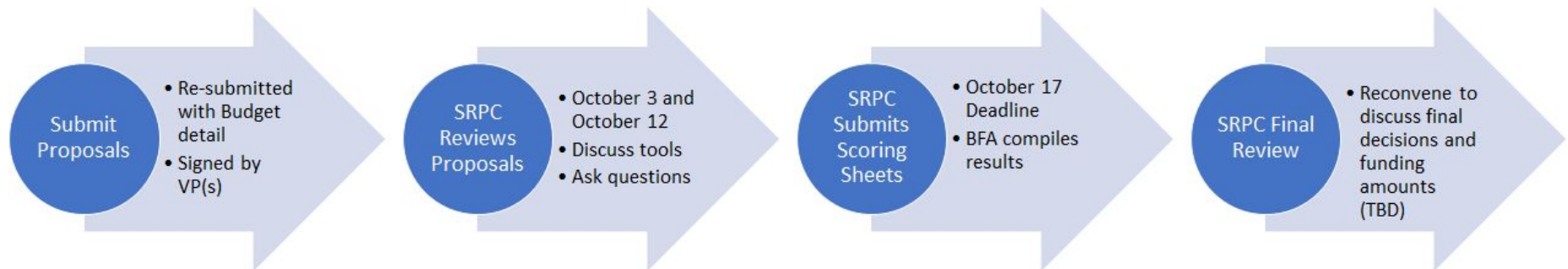
Overview of Process

Set Aside Fund for Strategic Initiatives

- In Fall 2018, President Beck set aside \$1M for Strategic Initiatives
- Proposals requesting funding developed in Fall 2017 will be a first round starting point
- SRPC will have two meetings to make recommendations to the President

Overview of Process

Process Timeline



Overview of Process

Strategic Initiatives Implementation Team (SIIT)

- Set by President Beck at the August 13, 2018 Leadership Meeting
 - Chair: Dr. Brian Sevier
 - Co-Chair: Dr. Genevieve Evans Taylor
 - Members: Dr. Richard Yao, Dr. Jill Leafstedt, Dr. Virgil Adams, Dr. John Yudelson, Dr. Amanda Carpenter, Elaine Crandall
- Building on Enthusiasm!

Overview of Process

Implementation: Cabinet, SI Team, and Champions

All organizations are designed, intentionally or unwittingly, to achieve precisely the results they get.”

-R. Spencer Darling

Cabinet: Accountable for resource allocation and implementation of the four Strategic Initiatives.

Implementation Team: Make visible cross-campus implementation work to recognize shared efforts and accountability for achieving the Strategic Initiatives (SI). Provide progress monitoring transparency around successes and ongoing SI work. Provide feedback in the proposal submission processes (stakeholders, measures, deliverables, evidence, etc.) to advance the SI work.

Objective Champions: Collaborate with fellow SI Objective Champions to identify shared work and to clarify (focus) measures, evidence, and timelines for Actions connected to Objective success. Advance Action progress and report progress to the Strategic Implementation Team.

Action Champions: Collaborate with stakeholders taking up and/or involved in the work to develop, sustain, track, and assess the effectiveness of a given Action.

Overview of Process

SIIT Supporting Proposals

- Proposals recommended for funding and/or implementation without funding
 - Each have built-in champions
 - SIIT will meet with champions and support the development of measures and alignment to Strategic Initiatives
 - Work to develop transparency by recommending a measurement tracking tool and flow to the cabinet
 - Assist the champions in reporting

Overview of Process

SIIT Supporting Existing and New Efforts

- SIIT will also reach out to campus to capture work in process or in plan to provide champions with support
 - Supports the champions to ensure that reported measures directly map to Strategic Initiatives
 - Supports the champions to ensure the quality of the proposed measures and data sources
 - Facilitates the collaboration of complementary measures
 - Assists champions with the data flow

Funding Request Review

Scoring Rubric

Features

- Provides specific scoring guides
- Describes characteristics of attributes at varying levels

SRPC should finalize details of the tool and consider independent scoring

Funding Request Review

Scoring Rubric - Example (saved in Dropbox)

Strategic Initiative Proposal Element	Ratings to Standard			
	Exceeds (6-7)	Meets (4-5)	Nearly Meets (2-3)	Does Not Meet (0-1)
Purpose Statement	<ul style="list-style-type: none"> • Clear focus, and an extremely comprehensive explanation of the purpose, the connection to student learning or benefit and proposes a purposeful and reasonable response. • The purpose statement is engaging, states the problem or opportunity, provides a compelling solution. 	<ul style="list-style-type: none"> • There is a clear focus, and a developed explanation of the problem, a connection to student learning or benefit has been made and a reasonable response is proposed. • The purpose statement states the problem or opportunity, provides a reasonable solution. 	<ul style="list-style-type: none"> • The area of focus is too broad or narrow. Does not clearly make a strong connection to student learning or benefit or the proposed response is not clearly linked to the problem. • The purpose statement does not provide a context for the proposed solution. 	<ul style="list-style-type: none"> • There is no clear focus for the purpose statement. No case has been made that a problem exists. No connection to student learning or benefit is evident. An under-developed response is proposed. • No clear intro., or purpose statement.

Funding Request Review

Scoring Sheet - Example (saved in Dropbox)

Scoring Sheet

Strategic Initiatives Funding Requests

Purpose Statement	Maps to CSUCI Strategic Initiative	Risks Of Not Funding The Proposal	Outcomes	Budget Detail	TOTAL SCORE	Amount Requested	Recommended for Full Funding (Y/N)	Recommended Partial Funding Amount
Increase Online Learning Options					0	\$40,700		
Institutionalize Student Research					0	\$144,500		
Peer to Peer Programs					0	\$13,700		
Sustain and Enhance Learning Communities					0	\$175,000		
Career Readiness Partnership with Apple One					0	\$32,000		
MacKenzie Branding Survey Phase 2					0	\$15,000		
Santa Barbara Advertising Campaign					0	\$35,000		
Recreation Field Lighting					0	\$200,000		
						<u>\$655,900</u>		<u>\$0</u>

Per Scoring Rubric:

Exceeds (6-7)

Meets (4-5)

Nearly Meets (2-3)

Does Not Meet (0-1)

Review of Funding Proposals

October 3 Presentation

- Recreation Field Lighting (BFA / VPSA)

October 12 Presentations

- Increase Online Learning Options (VPAA)
- Institutionalize Student Research (VPAA)
- Peer to Peer Programs (VPAA / VPSA)
- Sustain and Enhance Learning Communities (VPAA / VPSA)
- Career Readiness Partnership with Apple One (UA)
- MacKenzie Branding Survey Phase 2 (UA)
- Santa Barbara Advertising Campaign (UA)